# CS 335: Bottom-up Parsing

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Content influenced by many excellent references, see References slide for acknowledgements.

# Rightmost Derivation of *abbcde*

$$S \rightarrow aABe$$
$$A \rightarrow Abc \mid b$$
$$B \rightarrow d$$

#### Input string: *abbcde*

 $S \rightarrow aABe$ 

 $\rightarrow aAde$ 

 $\rightarrow aAbcde$ 

 $\rightarrow abbcde$ 



#### Bottom-up Parsing

Constructs the parse tree starting from the leaves and working up toward the root

$S \rightarrow aAB\epsilon$	Ż
$A \rightarrow Abc$	b
$B \rightarrow d$	

Inpu	t string: abbcde	
$S \rightarrow aABe$	abbcde	
$\rightarrow aAde$	$\rightarrow aAbcde$	
$\rightarrow aAbcde$	$\rightarrow aAde$	
$\rightarrow$ abbcde	$\rightarrow aABe$	
	$\rightarrow S$	reverse of
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#### Bottom-up Parsing



Input string: abbcdeabbcde $\rightarrow aAbcde$  $\rightarrow aAde$  $\rightarrow aABe$  $\rightarrow S$ 



#### Reduction

- Bottom-up parsing **reduces** a string *w* to the start symbol *S* 
  - At each reduction step, a chosen substring that is the rhs (or body) of a production is replaced by the lhs (or head) nonterminal

Derivation

$$S \underset{rm}{\Rightarrow} \gamma_0 \underset{rm}{\Rightarrow} \gamma_1 \underset{rm}{\Rightarrow} \gamma_2 \underset{rm}{\Rightarrow} \dots \underset{rm}{\Rightarrow} \gamma_{n-1} \underset{rm}{\Rightarrow} \gamma_n = w$$

Bottom-up Parser

 $E \rightarrow E + T \mid T$  $T \rightarrow T * F \mid F$  $F \rightarrow (E) \mid id$ 

- Handle is a substring that matches the body of a production
  - Reducing the handle is one step in the reverse of the rightmost derivation

<b>Right Sentential Form</b>	Handle	<b>Reducing Production</b>
$id_1 * id_2$	$id_1$	$F \rightarrow id$
$F * id_2$	F	$T \rightarrow F$
$T * id_2$	$id_2$	$F \rightarrow id$
T * F	T * F	$T \rightarrow T * F$
T	Т	$E \rightarrow T$

Although T is the body of the production  $E \rightarrow T$ , T is not a handle in the sentential form  $T * id_2$ 

$E \rightarrow E + I \mid I$	
$T \to T * F \mid F$	
$F \rightarrow (E) \mid \text{id}$	

<b>Right Sentential Form</b>	Handle	<b>Reducing Production</b>
$\mathbf{id}_1 * \mathbf{id}_2$	$id_1$	$F \rightarrow id$
$F * id_2$	F	$T \rightarrow F$
$T * id_2$	$id_2$	$F \rightarrow id$
T * F	T * F	$T \rightarrow T * F$
Т	Т	$E \rightarrow T$

- If  $S \Longrightarrow_{rm}^* \alpha Aw \Longrightarrow_{rm} \alpha \beta w$ , then  $A \rightarrow \beta$  is a handle of  $\alpha \beta w$
- String *w* right of a handle must contain only terminals



# If grammar G is unambiguous, then every right sentential form has only one handle

If G is ambiguous, then there can be more than one rightmost derivation of  $\alpha\beta w$ 

# Shift-Reduce Parsing

# Shift-Reduce Parsing

- Type of bottom-up parsing with two primary actions, shift and reduce
  - Other obvious actions are accept and error
- The input string (i.e., being parsed) consists of two parts
  - Left part is a string of terminals and nonterminals, and is stored in stack
  - Right part is a string of terminals read from an input buffer
  - Bottom of the stack and end of input are represented by \$

### Shift-Reduce Actions

- Shift: shift the next input symbol from the right string onto the top of the stack
- **Reduce**: identify a string on top of the stack that is the body of a production, and replace the body with the head

# Shift-Reduce Parsing

#### Initial

Stack	Input
\$	w\$



#### • Final goal

Stack	Input
\$ <i>S</i>	\$

# Shift-Reduce Parsing



Stack Input		Action
\$	$id_1 * id_2$ \$	Shift
\$ <b>id</b> <sub>1</sub>	* <b>id</b> <sub>2</sub> \$	Reduce by $F \rightarrow id$
\$ <i>F</i>	* <b>id</b> <sub>2</sub> \$	Reduce by $T \to F$
\$ <i>T</i>	* <b>id</b> <sub>2</sub> \$	Shift
\$ <i>T</i> *	id <sub>2</sub> \$	Shift
$T * id_2$	\$	Reduce by $F \rightarrow id$
T * F	\$	Reduce by $T \rightarrow T * F$
\$ <i>T</i>	\$	Reduce by $E \rightarrow T$
\$ <i>E</i>	\$	Accept

# Handle on Top of the Stack

• Is the following scenario possible?

Stack	Input	Action
\$ αβγ	w\$	Reduce by $A \rightarrow \gamma$
\$ αβΑ	w\$	Reduce by $B \rightarrow \beta$
$\alpha BA$	w\$	

#### Possible Choices in Rightmost Derivation



# Handle on Top of the Stack

• Is the following scenario possible?

Stack	Input	Action	
Handle always eventu	ally appears on top of	the stack, never inside	

### Shift-Reduce Actions

- Shift: shift the next input symbol from the right string onto the top of the stack
- Reduce: identify a string on top of the stack that is the body of a production, and replace the body with the head

How do you decide when to shift and when to reduce?

### Steps in Shift-Reduce Parsers

General shift-reduce technique If there is **no handle** on the stack, **then shift** If there is **a handle** on the stack, **then reduce** 

- Bottom up parsing is essentially the process of detecting handles and reducing them
- Different bottom-up parsers differ in the way they detect handles

### Challenges in Bottom-up Parsing

Which action do you pick when there is a choice?

• Both shift and reduce are valid, implies a shift-reduce conflict

Which rule to use if reduction is possible by more than one rule?

• Reduce-reduce conflict

### Shift-Reduce Conflict

 $E \rightarrow E + E \mid E * E \mid id$ 

	id + i	d * id		id + id	* id
Stack	Input	Action	Stack	Input	Action
\$	id + id * id\$	Shift	\$	id + id * id\$	Shift
E + E	* <b>id</b> \$	Reduce by $E \rightarrow E + E$	E + E	* id\$	Shift
\$ <i>E</i>	* <b>id</b> \$	Shift	E + E *	id\$	Shift
\$ <i>E</i> *	id\$	Shift	E + E * id	\$	Reduce by $E \rightarrow \mathbf{id}$
\$ <i>E</i> * <b>id</b>	\$	Reduce by $E \rightarrow id$	E + E * E	\$	Reduce by $E \rightarrow E * E$
\$ <i>E</i> * <i>E</i>	\$	Reduce by $E \rightarrow E * E$	E + E	\$	Reduce by $E \rightarrow E + E$
\$ <i>E</i>	\$		\$ <i>E</i>	\$	

### Shift-Reduce Conflict

 $Stmt \rightarrow if Expr then Stmt$ | if Expr then Stmt else Stmt| other

Stack	Input	Action
if <i>Expr</i> then <i>Stmt</i>	<b>else</b> \$	

### Shift-Reduce Conflict

Stmt → **if** Expr **then** Stmt | **if** Expr **then** Stmt **else** Stmt | other



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 $M \to R + R \mid R + c \mid R$  $R \rightarrow c$ 

### Reduce-Reduce Conflict

c + c			c + c		
Stack	Input	Action	Stack	Input	Action
\$	c + c\$	Shift	\$	c + c\$	Shift
\$ <i>c</i>	+ <i>c</i> \$	Reduce by $R \rightarrow c$	\$ <i>c</i>	+ <i>c</i> \$	Reduce by $R \rightarrow c$
\$ <i>R</i>	+ <i>c</i> \$	Shift	\$ <i>R</i>	+ <i>c</i> \$	Shift
\$ <i>R</i> +	<i>c</i> \$	Shift	\$ <i>R</i> +	<i>c</i> \$	Shift
R + c	\$	Reduce by $R \rightarrow c$	R + c	\$	Reduce by $M \rightarrow R + c$
R + R	\$	Reduce by $R \rightarrow R + R$	\$ <i>M</i>	\$	
\$ <i>M</i>	\$				

# LR Parsing

# LR(k) Parsing

- Popular bottom-up parsing scheme
  - L is for left-to-right scan of input
  - R is for reverse of rightmost derivation
  - k is the number of lookahead symbols
- LR parsers are table-driven, like the nonrecursive LL parser
- LR grammar is one for which we can construct an LR parsing table

# Popularity of LR Parsing

Can recognize all language constructs with CFGs

#### Most general nonbacktracking shift-reduce parsing method

Works for a superset of grammars parsed with predictive or LL parsers



# Popularity of LR Parsing

#### Can recognize all language constructs with CFGs

Most general nonbacktracking shift-reduce parsing method

#### Works for a superset of grammars parsed with predictive or LL parsers

- LL(k) parsing predicts which production to use having seen only the first k tokens of the right-hand side
- LR(k) parsing can decide after it has seen input tokens corresponding to the entire right-hand side of the production

# Block Diagram of LR Parser



# LR Parsing

- Remember the basic question: when to shift and when to reduce!
- Information is encoded in a DFA constructed using canonical LR(0) collection
  - I. Augmented grammar G' with new start symbol S' and rule  $S' \rightarrow S$
  - II. Define helper functions Closure() and Goto()

# LR(0) Item

- An LR(0) item (also called item) of a grammar *G* is a production of *G* with a dot at some position in the body
- An item indicates how much of a production we have seen
  - Symbols on the left of "•" are already on the stack
  - Symbols on the right of "•" are expected in the input

ProductionItems $A \rightarrow \bullet XYZ$  $A \rightarrow \bullet XYZ$  $A \rightarrow XYZ$  $A \rightarrow XY \bullet Z$  $A \rightarrow XYZ \bullet$  $A \rightarrow XYZ \bullet$ 

 $A \rightarrow \bullet XYZ$  indicates that we expect a string derivable from XYZ next on the input

# **Closure Operation**

- Let I be a set of items for a grammar G
- Closure(*I*) is constructed by
  - 1. Add every item in *I* to Closure(*I*)
  - 2. If  $A \to \alpha \bullet B\beta$  is in Closure(I) and  $B \to \gamma$  is a rule, then add  $B \to \gamma$  to Closure(I) if not already added
  - 3. Repeat until no more new items can be added to Closure(*I*)

### Example of Closure

$E' \to E$
$E \rightarrow E + T \mid T$
$T \to T * F \mid F$
$F \rightarrow (E) \mid id$

Suppose 
$$I = \{E' \rightarrow \bullet E\}$$
, compute Closure( $I$ )

### Example of Closure

$E' \rightarrow E$	
$E \rightarrow E + T \mid T$	
$T \to T * F \mid F$	
$F \rightarrow (E) \mid \text{id}$	

Suppose 
$$I = \{E' \rightarrow \bullet E\}$$

Closure(I) = {  

$$\begin{array}{c}
-\underline{E' \rightarrow \bullet E}, \\
\overline{E \rightarrow \bullet E}, \\
\overline{E \rightarrow \bullet E}, \\
\overline{E \rightarrow \bullet T}, \\
T \rightarrow \bullet T, \\
T \rightarrow \bullet T * F, \\
T \rightarrow \bullet F, \\
F \rightarrow \bullet (E), \\
F \rightarrow \bullet id
\end{array}$$

}

### Kernel and Nonkernel Items

- If one *B*-production is added to Closure(*I*) with the dot at the left end, then all *B*-productions will be added to the closure
- Kernel items
  - Initial item  $S' \rightarrow \bullet S$ , and all items whose dots are not at the left end
- Nonkernel items
  - All items with their dots at the left end, except for  $S' \rightarrow \bullet S$

### Goto Operation

- Suppose *I* is a set of items and *X* is a grammar symbol
- Goto(*I*, *X*) is the closure of set all items  $[A \rightarrow \alpha X \bullet \beta]$  such that  $[A \rightarrow \alpha \bullet X\beta]$  is in *I* 
  - If *I* is a set of items for some valid prefix *α*, then Goto(*I*,*X*) is set of valid items for prefix *αX*
- Intuitively, Goto(*I*, *X*) defines the transitions in the LR(0) automaton
  - Goto(*I*, *X*) gives the transition from state *I* under input *X*
#### Example of Goto

$F' \rightarrow F$	
$L \rightarrow L$	
$E \rightarrow E + I \mid I$	
$T \to T * F \mid F$	
$F \rightarrow (E) \mid id$	

Suppose  $I = \{ E' \rightarrow E \bullet, E \rightarrow E \bullet + T \}$ 

• Compute Goto(*I*, +)

#### Example of Goto

$E' \to E$
$E \rightarrow E + T \mid T$
$T \to T * F \mid F$
$F \rightarrow (E) \mid id$

Suppose  $I = \{$  $E' \to E \bullet$ ,  $E \rightarrow E \bullet + T$ 

Goto(
$$I$$
, +) = {  
 $E \rightarrow E + \bullet T$ ,  
 $T \rightarrow \bullet T * F$ ,  
 $T \rightarrow \bullet F$ ,  
 $F \rightarrow \bullet (E)$ ,  
 $F \rightarrow \bullet \mathbf{id}$ 

}

}

$$C = \text{Closure}(\{S' \to \bullet S\})$$

repeat

for each set of items *I* in *C* for each grammar symbol *X* if Goto(*I*, *X*) is not empty and not in *C* add Goto(*I*, *X*) to *C* until no new sets of items are added to *C* 

$E' \to E$
$E \rightarrow E + T \mid T$
$T \to T * F \mid F$
$F \rightarrow (E) \mid id$

 Compute the canonical collection for the expression grammar

$I_6 = \text{Goto}(I_1, +) = \{$	$I_9 = \text{Goto}(I_6, T) = \{$	$I_2 = \text{Goto}(I_4, T)$
$E \to E + \bullet T,$	$E \to E + T \bullet,$	$I_3 = \text{Goto}(I_4, F)$
$\begin{array}{ccc} I \rightarrow \bullet I & * F, \\ T \rightarrow \bullet F \end{array}$	$I \rightarrow I \bullet * F$	$I_4 = \text{Goto}(I_4, "("))$
$F \rightarrow \bullet(E),$	J	$I_5 = \text{Goto}(I_4, \text{id})$
$F \rightarrow \bullet \mathbf{id},$	$I_{10} = \text{Goto}(I_7, F) = \{$	$I_3 = \text{Goto}(I_6, F)$
}	$T \to T * F \bullet,$	$I_4 = \text{Goto}(I_6, "("))$
$I_8 = \text{Goto}(I_4, E) = \{$	}	$I_5 = \text{Goto}(I_6, \text{id})$
$E \rightarrow E \bullet + T$ ,	$I_{11} = \text{Goto}(I_8, ")") = \{$	$I_4 = \text{Goto}(I_7, "(")$
$F \to (E \bullet)$	$F \to (E) \bullet$	$I_5 = \text{Goto}(I_7, \text{id})$
}	}	$I_6 = \text{Goto}(I_8, +)$

 $I_7 = \text{Goto}(I_9, *)$ 

# LR(0) Automaton

- An LR parser makes shift-reduce decisions by maintaining states
- Canonical LR(0) collection is used for constructing a DFA for parsing
- States represent sets of LR(0) items in the canonical LR(0) collection
  - Start state is Closure( $\{S' \rightarrow \bullet S\}$ ), where S' is the start symbol of the augmented grammar
  - State *j* refers to the state corresponding to the set of items  $I_j$

#### LR(0) Automaton



# Use of LR(0) Automaton

- How can LR(0) automata help with shift-reduce decisions?
- Suppose string  $\gamma$  of grammar symbols takes the automaton from start state  $S_0$  to state  $S_j$ 
  - Shift on next input symbol a if  $S_j$  has a transition on a
  - Otherwise, reduce
    - Items in state  $S_j$  help decide which production to use

# Shift-Reduce Parser with LR(0) Automaton

Stack	Symbols	Input	Action
0	\$	id * id\$	Shift to 5
0 5	\$id	* id\$	Reduce by $F \rightarrow \mathbf{id}$
03	\$ <i>F</i>	* id\$	Reduce by $T \to F$
0 2	\$ <i>T</i>	* id\$	Shift to 7
027	\$ <i>T</i> *	id\$	Shift to 5
0275	\$ <i>T</i> * <b>id</b>	\$	Reduce by $F \rightarrow \mathbf{id}$
0 2 7 10	\$ <i>T</i> * <i>F</i>	\$	Reduce by $T \rightarrow T * F$
0 2	\$ <i>T</i>	\$	Reduce by $E \rightarrow T$
01	\$ <i>E</i>	\$	Accept

#### Viable Prefix

- A viable prefix is a prefix of a right sentential form that can appear on the stack of a shift-reduce parser
  - $\alpha$  is a viable prefix if  $\exists w$  such that  $\alpha w$  is a right sentential form

 $E \rightarrow T \rightarrow T * F \rightarrow T * id \rightarrow F * id \rightarrow id * id$ 

- id \* is a prefix of a right sentential form, but it can never appear on the stack
  - Always reduce by  $F \rightarrow id$  before shifting \*
  - Not all prefixes of a right sentential form can appear on the stack
- There is no error as long as the parser has viable prefixes on the stack

#### Example of a Viable Prefix

$S \to X_1 X_2 X_3 X_4$ $A \to X_1 X_2$	
Let $w = X_1 X_2 X_3$	

Stack	Input
\$	$X_1 X_2 X_3 $ \$
\$ <i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub> <i>X</i> <sub>3</sub> \$
\$ <i>X</i> <sub>1</sub> <i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub> \$
\$ <i>A</i>	<i>X</i> <sub>3</sub> \$
\$ <i>AX</i> <sub>3</sub>	\$

#### $X_1X_2X_3$ can never appear on a stack

# Challenges with LR(0) Parsing

• An LR(0) parser works only if each state with a reduce action has only one possible reduce action and no shift action



- Takes shift/reduce decisions without any lookahead token
  - Lacks the power to parse programming language grammars

# Challenges with LR(0) Parsing

• Consider the following grammar for adding numbers



$$S \rightarrow E + S \mid E$$
$$E \rightarrow \mathbf{num}$$
Right associative

# Challenges with LR(0) Parsing

• Consider the following grammar for adding numbers



# Simple LR Parsing

# Block Diagram of LR Parser



# LR Parsing Algorithm

- The parser driver is same for all LR parsers
  - Only the parsing table changes across parsers
- A shift-reduce parser shifts a symbol, and an LR parser shifts a state
- By construction, all transitions to state *j* is for the same symbol *X* 
  - Each state, except the start state, has a unique grammar symbol associated with it

# SLR(1) Parsing

- Extends LR(0) parser to eliminate a few conflicts
  - Uses LR(0) items and LR(0) automaton
- For each reduction  $A \rightarrow \beta$ , look at the next symbol c
- Apply reduction only if  $c \in FOLLOW(A)$  or  $c = \epsilon$  and  $S \xrightarrow{\circ} \gamma A$

# Structure of SLR Parsing Table

- Assume  $S_i$  is top of the stack and  $a_i$  is the current input symbol
- Parsing table consists of two parts: an Action and a Goto function
- Action table is indexed by state and terminal symbols
  - Action[ $S_i$ ,  $a_i$ ] can have four values
    - Shift  $a_i$  to the stack, goto state  $S_i$
    - Reduce by rule *k*
    - Accept
    - Error (empty cell in the table)
- Goto table is indexed by state and nonterminal symbols

#### Constructing SLR Parsing Table

- 1) Construct LR(0) canonical collection  $C = \{I_0, I_1, ..., I_n\}$  for grammar G'
- 2) State *i* is constructed from  $I_i$ 
  - a) If  $[A \rightarrow \alpha \bullet a\beta]$  is in  $I_i$  and Goto $(I_i, a) = I_j$ , then set Action[i, a] = "Shift j"
  - b) If  $[A \rightarrow \alpha \bullet]$  is in  $I_i$ , then set Action [i, a] = "Reduce  $A \rightarrow \alpha$ " for all a in FOLLOW(A)
  - c) If  $[S' \rightarrow S \bullet]$  is in  $I_i$ , then set Action [i, \$] = "Accept"
- 3) If Goto $(I_i, A) = I_j$ , then Goto[i, A] = j
- 4) All entries left undefined are "errors"

# SLR Parsing for Expression Grammar

Rule #	Rule
1	$E \rightarrow E + T$
2	$E \rightarrow T$
3	$T \rightarrow T * F$
4	$T \rightarrow F$
5	$F \rightarrow (E)$
6	$F \rightarrow id$

- *sj* means shift and stack state *i*
- *rj* means reduce by rule #*j*
- *acc* means accept
- blank means error

# SLR Parsing Table

Ctoto	Action					Goto			
State	id	+	*	(	)	\$	Ε	Т	F
0	<i>s</i> 5			<i>s</i> 4			1	2	3
1		<i>s</i> 6				асс			
2		<i>r</i> 2	<i>s</i> 7		<i>r</i> 2	<i>r</i> 2			
3		r4	r4		r4	r4			
4	<i>s</i> 5			<i>s</i> 4			8	2	3
5		<i>r</i> 6	<i>r</i> 6		<i>r</i> 6	<i>r</i> 6			
6	<i>s</i> 5			<i>s</i> 4				9	3
7	<i>s</i> 5			<i>s</i> 4					10
8		<i>s</i> 6			<i>s</i> 11				
9		r1	<i>s</i> 7		r1	r1			
10		<i>r</i> 3	<i>r</i> 3		<i>r</i> 3	<i>r</i> 3			
11		r5	r5		r5	r5			

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#### LR Parser Configurations

- A LR parser configuration is a pair  $< s_0, s_1, ..., s_m, a_i a_{i+1} ... a_n$ 
  - Left half is stack content, and right half is the remaining input
- Configuration represents the right sentential form  $X_1X_2 \dots X_m a_i a_{i+1} \dots a_n$

#### LR Parsing Algorithm

- If Action[ $s_m$ ,  $a_i$ ] = shift s, new configuration is  $\langle s_0, s_1, ..., s_m s, a_{i+1} ... a_n$ \$>
- If Action[ $s_m, a_i$ ] = reduce  $A \rightarrow \beta$ , new configuration is  $\langle s_0, s_1, ..., s_{m-r}, a_i a_{i+1} ... a_n$ \$

• Assume *r* is  $|\beta|$  and *s* = Goto[ $s_{m-r}$ , *A*]

- If Action[ $s_m$ ,  $a_i$ ] = accept, parsing is successful
- If Action[ $s_m$ ,  $a_i$ ] = error, parsing has discovered an error

# LR Parsing Program

```
Let a be the first symbol of input w$
while (1)
    let s be the top of the stack
    if Action[a] == shift t
         push t onto the stack
         let a be the next input symbol
    else if Action[s, a] == reduce A \rightarrow \beta
         pop |\beta| symbols off the stack
        push Goto[t, A] onto the stack
        output production A \rightarrow \beta
    else if Action[s, a] == accept
        break
    else
        invoke error recovery
```

## Moves of an LR Parser on id \* id + id

	Stack	Symbols	Input	Action
1	0		id * id + id\$	Shift
2	0 5	id	* id + id\$	Reduce by $F \rightarrow id$
3	03	F	* id + id\$	Reduce by $T \to F$
4	0 2	Т	* id + id\$	Shift
5	027	T *	id + id\$	Shift
6	0275	$T * \mathbf{id}$	+id\$	Reduce by $F \rightarrow id$
7	02710	T * F	+id\$	Reduce by $T \to T * F$
8	0 2	Т	+id\$	Reduce by $E \to T$
9	01	Ε	+id\$	Shift
10	016	<i>E</i> +	id\$	Shift

# Moves of an LR Parser on id \* id + id

	Stack	Symbols	Input	Action
11	0165	E + id	\$	Reduce by $F \rightarrow \mathbf{id}$
12	0163	E + F	\$	Reduce by $T \to F$
13	0169	E + T	\$	Reduce by $E \rightarrow E + T$
14	01	Ε	\$	Accept

#### Limitations of SLR Parsing

- If an SLR parse table for a grammar does not have multiple entries in any cell then the grammar is unambiguous
- Every SLR(1) grammar is unambiguous, but there are unambiguous grammars that are not SLR(1)

#### Limitations of SLR Parsing

Unambiguous grammar  $S \rightarrow L = R \mid R$   $L \rightarrow *R \mid id$  $R \rightarrow L$ 

**Example Derivation**  $S \Rightarrow L = R \Rightarrow *R = R$ 

 $FIRST(S) = FIRST(L) = FIRST(R) = \{*, id\}$ 

FOLLOW(S) = FOLLOW(L) = FOLLOW(R) =  $\{=, \$\}$ 

#### Canonical LR(0) Collection

#### SLR Parsing Table

Ctata	Action				Goto		
State	=	*	id	\$	S	L	R
0		<i>s</i> 4	<i>s</i> 5		1	2	3
1				асс			
2	s6, r6			r6			
3							
4		<i>s</i> 4	<i>s</i> 5			8	7
5	r5			r5			
6		<i>s</i> 4	<i>s</i> 5			8	9
7	r4			r4			
8	r6			r6			
9				<i>r</i> 2			

#### Shift-Reduce Conflict with SLR Parsing



#### Moves of an LR Parser on id=id

Stack	Input	Action	Stack	Input	Action
0	id=id\$	Shift 5	0	id=id\$	Shift 5
0 <b>id</b> 5	=id\$	Reduce by $L \rightarrow \mathbf{id}$	0 <b>id</b> 5	=id\$	Reduce by $L \rightarrow \mathbf{id}$
0 <i>L</i> 2	=id\$	Reduce by $R \rightarrow L$	0 <i>L</i> 2	=id\$	Shift 6
0 R 3	=id\$	Error	0 L 2 = 6	id\$	Shift 5
			0 L 2 = 6  id  5	\$	Reduce by $L \rightarrow \mathbf{id}$
			0 L 2 = 6 L 8	\$	Reduce by $R \rightarrow L$
			0 L 2 = 6 R 9	\$	Reduce by $S \to L = R$
			0 <i>S</i> 1	\$	Accept

#### Moves of an LR Parser on id=id

- State *i* calls for a reduction by A → α if the set of items I<sub>i</sub> contains item [A → α•] and a ∈ FOLLOW(A)
- Suppose  $\beta A$  is a viable prefix on top of the stack
- There may be no right sentential form where a follows  $\beta A$ 
  - No right sentential form begins with  $R = \cdots$
  - $\succ$  Parser should not reduce by *A* → *α*

0L2 = 6R9	\$ Reduce by $S \to L = R$
0 <i>S</i> 1	\$ Accept

#### Moves of an LR Parser on id=id

Stack	Input	Action	Stack	Input	Action					
0	id=id\$	Shift 5	0	id=id\$	Shift 5					
<ul> <li>SLR parsers cannot remember the left context</li> <li>SLR(1) states only tell us about the sequence on top of the stack, not what is below on the stack</li> </ul>										
			0 L 2 = 6  id  5	\$	Reduce by $L \rightarrow id$					
			0 L 2 = 6 L 8	\$	Reduce by $R \rightarrow L$					
			0 L 2 = 6 R 9	\$	Reduce by $S \to L = R$					

0*S* 1

\$ Accept
# Canonical LR Parsing

## LR(1) Item

- An LR(1) item of a CFG G is a string of the form  $[A \rightarrow \alpha \bullet \beta, a]$ 
  - $A \rightarrow \alpha \beta$  is a production in *G*, and  $a \in T \cup \{\$\}$
  - There is now one symbol lookahead
- Suppose  $[A \rightarrow \alpha \bullet \beta, a]$  where  $\beta \neq \epsilon$ , then the lookahead does not help
- If  $[A \rightarrow \alpha \bullet, a]$ , reduce only if next input symbol is a
  - Set of possible terminals will always be a subset of FOLLOW(A), but can be a
    proper subset

## LR(1) Item

• An LR(1) item  $[A \rightarrow \alpha \bullet \beta, a]$  is valid for a viable prefix  $\gamma$  if there is a derivation

$$S \underset{rm}{\Rightarrow}^* \delta Aw \underset{rm}{\Rightarrow} \delta \alpha \beta w$$

where

- i.  $\gamma = \delta a$ , and
- ii. a is first symbol of w or  $w = \epsilon$  and a =



## Constructing LR(1) Sets of Items

#### Closure(*I*)

repeat

for each item  $[A \rightarrow \alpha \bullet B\beta, a]$  in Ifor each production  $B \rightarrow \gamma$  in G'for each terminal b in FIRST( $\beta a$ ) add  $[B \rightarrow \bullet \gamma, b]$  to set Iuntil no more items are added to Ireturn I

#### Goto(I, X)

initialize J to be the empty set for each item  $[A \rightarrow \alpha \bullet X\beta, a]$  in I add item  $[A \rightarrow \alpha X \bullet \beta, a]$  to set J return Closure(J)

## Constructing LR(1) Sets of Items

```
Items(G')
  C = \text{Closure}(\{[S' \rightarrow \bullet S, \$]\})
  repeat
  for each set of items I in C
     for each grammar symbol X
        if Goto(I, X) \neq \phi and Goto(I, X) \notin C
          add Goto(I, X) to C
  until no new sets of items are added to C
```

## Example Construction of LR(1) Items

Rule #	Production
0	$S' \to S$
1	$S \rightarrow CC$
2	$C \rightarrow cC$
3	$C \rightarrow d$
	generates the regular language $c^*dc^*d$

$$I_{0} = \text{Closure}([S' \rightarrow \bullet S, \$]) = \{ S' \rightarrow \bullet S, \$, \\ S \rightarrow \bullet CC, \$, \\ C \rightarrow \bullet CC, c/d, \\ C \rightarrow \bullet cC, c/d, \\ C \rightarrow \bullet d, c/d \}$$
$$I_{1} = \text{Goto}(I_{0}, S) = \{ S' \rightarrow S \bullet, \$ \}$$

## Example Construction of LR(1) Items

## LR(1) Automaton



## Construction of Canonical LR(1) Parsing Tables

- Construct  $C' = \{I_0, I_1, ..., I_n\}$
- State i of the parser is constructed from  $I_i$ 
  - If  $[A \rightarrow \alpha \bullet a\beta, b]$  is in  $I_i$  and Goto $(I_i, a) = I_j$ , then set Action[i, a]="shift j"
  - If  $[A \to \alpha \bullet, \alpha]$  is in  $I_i, A \neq S'$ , then set Action $[i, \alpha]$ ="reduce  $A \to \alpha \bullet$ "
  - If  $[S' \rightarrow S \bullet, \$]$  is in  $I_i$ , then set Action[i,\$]="accept"
- If Goto( $I_i$ , A)=  $I_j$ , then Goto[i, A] = j
- Initial state of the parser is constructed from the set of items containing  $[S' \rightarrow \bullet S, \$]$

## Canonical LR(1) Parsing Table

State		Action	Goto		
	С	d	\$	S	С
0	s3	<i>s</i> 4		1	2
1			асс		
2	<i>s</i> 6	<i>s</i> 7			5
3	<i>s</i> 3	<i>s</i> 4			8
4	<i>r</i> 3	<i>r</i> 3			
5			r1		
6	<i>s</i> 6	<i>s</i> 7			9
7			<i>r</i> 3		
8	<i>r</i> 2	<i>r</i> 2			
9			<i>r</i> 2		

## Canonical LR(1) Parsing

- If the parsing table has no multiply-defined cells, then the corresponding grammar *G* is LR(1)
- Every SLR(1) grammar is an LR(1) grammar
  - Canonical LR parser may have more states than SLR

## LALR Parsing

## Example Construction of LR(1) Items

$$I_{0} = \operatorname{Closure}([S' \rightarrow .S, \$]) = \{ I_{3} = \operatorname{Goto}(I_{0}, c) = \{ I_{6} = \operatorname{Goto}(I_{2}, c) = \{ S' \rightarrow \bullet .S, \$, C \rightarrow \bullet .C, c/d, C \rightarrow \bullet .C, s/h, C \rightarrow \bullet .C, \$, \$, C \rightarrow \bullet .C, c/d, C \rightarrow \bullet .C, \$, \$, C \rightarrow \bullet .C, c/d, C \rightarrow \bullet .C, \$, \varepsilon \in .C, \$, C \rightarrow \bullet .C, s/h, C \rightarrow \bullet .C, s/h, C \rightarrow \bullet .C, \$, \varepsilon \in .C, \$, C \rightarrow \bullet .C, s/h, C \rightarrow \bullet .C, \$, \varepsilon \in .C, \$, C \rightarrow \bullet .C, \$, \varepsilon \in .C, \$, C \rightarrow \bullet .C, \$, \varepsilon \in .C, \bullet .C, \$, \varepsilon \in .C, \$, \varepsilon \in .C, \$, \varepsilon \in .C, \bullet, \varepsilon \in .C, \$, \varepsilon \in .C, \bullet, \varepsilon \in .C, \$, \varepsilon \in .C, \bullet, \$, \varepsilon \in .C, \bullet, \varepsilon \in .C, \$, \varepsilon \in .C, \$, \varepsilon \in .C, \bullet, \varepsilon \in .C, \$, \varepsilon \in .C, \bullet, \varepsilon \in .C, \$, \varepsilon \in .C, \bullet, \varepsilon \in .C, \varepsilon \in$$

## Lookahead LR (LALR) Parsing

- CLR(1) parser has a large number of states
- Lookahead LR (LALR) parser
  - Merge sets of LR(1) items that have the same core, i.e., first component
    - A core is a set of LR(0) items
  - LALR parser is used in many parser generators (for e.g., Yacc and Bison)
    - Fewer number of states, same as SLR

## Construction of LALR Parsing Table

- Construct  $C = \{I_0, I_1, \dots, I_n\}$ , the collection of sets of LR(1) items
- For each core present in LR(1) items, find all sets having the same core and replace these sets by their union
- Let  $C' = \{J_0, J_1, \dots, J_n\}$  be the resulting sets of LR(1) items
  - Also called LALR collection
- Construct Action table as was done earlier, parsing actions for state i is constructed from  $J_i$
- Let  $J = I_1 \cup I_2 \cup \cdots \cup I_k$ , where the cores of  $I_1, I_2, \dots, I_k$  are same.
  - Cores of  $Goto(I_1, X)$ ,  $Goto(I_2, X)$ , ...,  $Goto(I_k, X)$  will also be the same.
  - Let  $K = \text{Goto}(I_1, X) \cup \text{Goto}(I_2, X) \cup \dots \cup \text{Goto}(I_k, X)$ , then Goto(J, X) = K

#### LALR Grammar

• If there are no parsing action conflicts, then the grammar is LALR(1)

Rule #	Production
0	$S' \to S$
1	$S \rightarrow CC$
2	$C \rightarrow cC$
3	$C \rightarrow d$

$$I_{36} = \operatorname{Goto}(I_0, c) = \{ C \rightarrow c \circ C, c/d/\$, C \rightarrow \circ cC, c/d/\$, C \rightarrow \circ d, c/d/\$ \}$$
$$I_{47} = \operatorname{Goto}(I_0, d) = \{ C \rightarrow d \circ, c/d/\$ \}$$

$$I_{89} = \text{Goto}(I_3, C) = \{ C \rightarrow cC \bullet, c/d/\$ \}$$

}

## LALR Parsing Table

State		Action	Goto		
	С	d	\$	S	С
0	<i>s</i> 36	<i>s</i> 47		1	2
1			асс		
2	<i>s</i> 36	<i>s</i> 47			5
36	<i>s</i> 36	<i>s</i> 47			89
47	<i>r</i> 3	<i>r</i> 3	<i>r</i> 3		
5			r1		
89	<i>r</i> 2	<i>r</i> 2	<i>r</i> 2		

## Notes on LALR Parsing Table

- Modified parser behaves as original
- Merging items can **never** produce shift/reduce conflicts
  - Suppose there is a conflict on lookahead *a*
  - Shift due to item  $[B \rightarrow \beta \bullet a\gamma, b]$  and reduce due to item  $[A \rightarrow \alpha \bullet, a]$
  - But merged state was formed from states with same cores
- Merging items **may** produce reduce/reduce conflicts

### Reduce-Reduce Conflicts due to Merging





### Dealing with Errors with LALR Parsing

#	Production
0	$S' \to S$
1	$S \rightarrow CC$
2	$C \rightarrow cC$
3	$C \rightarrow d$

• Consider an erroneous input *ccd* 

CLR Parsing Table								
State		Action		Goto				
	С	d	\$	S	С			
0	<i>s</i> 3	<i>s</i> 4		1	2			
1			асс					
2	<i>s</i> 6	<i>s</i> 7			5			
3	<i>s</i> 3	<i>s</i> 4			8			
4	<i>r</i> 3	<i>r</i> 3						
5			r1					
6	<i>s</i> 6	<i>s</i> 7			9			
7			r3					
8	r2	<i>r</i> 2						
9			r2					

LALR Parsing Table								
Chata		Action	Goto					
State	С	d	\$	S	С			
0	<i>s</i> 36	s47		1	2			
1			асс					
2	s36	s47			5			
36	s36	s47			89			
47	r3	r3	<i>r</i> 3					
5			r1					
89	<i>r</i> 2	<i>r</i> 2	<i>r</i> 2					

## Dealing with Errors with LALR Parsing

• Consider an erroneous input *ccd* 



## Using Ambiguous Grammars

### Dealing with Ambiguous Grammars

 $E' \to E$  $E \to E + E \mid E * E \mid (E) \mid \text{id}$ 

$$I_{0} = \text{Closure}(\{E' \rightarrow \bullet E\}) = \{ E' \rightarrow \bullet E, E \rightarrow \bullet E + E, E \rightarrow \bullet E + E, E \rightarrow \bullet E * E, E \rightarrow \bullet (E), E \rightarrow E \bullet (E) \}$$

$$I_{2} = \operatorname{Goto}(I_{0}, '(') = \{ I_{5} = \operatorname{Goto}(I_{0}, '*') = \{ E \rightarrow (e^{E}), E \rightarrow$$

## SLR(1) Parsing Table

State			Goto				
	id	+	*	(	)	\$	E
0	<i>s</i> 3			<i>s</i> 2			1
1		<i>s</i> 4	<i>s</i> 5			асс	
2	<i>s</i> 3			<i>s</i> 2			6
3		r4	r4		r4	r4	
4	<i>s</i> 3			<i>s</i> 2			7
5	<i>s</i> 3			s2			8
6		<i>s</i> 4	<i>s</i> 5		<i>s</i> 9		
7		s4, r1	s5,r1		r1	r1	
8		s4, r2	s5,r2		<i>r</i> 2	<i>r</i> 2	
9		<i>r</i> 3	<i>r</i> 3		<i>r</i> 3	<i>r</i> 3	

## Moves of an SLR Parser on id + id \* id

	Stack	Symbols	Input	Action
1	0		id + id * id\$	Shift 3
2	03	id	+id * id\$	Reduce by $E \rightarrow id$
3	01	Ε	+id * id\$	Shift 4
4	014	E +	id * id\$	Shift 3
5	0143	$E + \mathbf{id}$	* id\$	Reduce by $E \rightarrow id$
6	0147	E + E	* id\$	

## SLR(1) Parsing Table

State			Goto				
	id	+	*	(	)	\$	E
0	<i>s</i> 3			<i>s</i> 2			1
1		<i>s</i> 4	<i>s</i> 5			асс	
2	<i>s</i> 3			<i>s</i> 2			6
3		r4	r4		r4	r4	
4	<i>s</i> 3			<i>s</i> 2			7
5	<i>s</i> 3			s2			8
6		<i>s</i> 4	<i>s</i> 5		<i>s</i> 9		
7		s4, <b>r1</b>	<b>s5</b> , r1		r1	r1	
8		s4, <b>r2</b>	s5, <b>r2</b>		<i>r</i> 2	<i>r</i> 2	
9		<i>r</i> 3	<i>r</i> 3		<i>r</i> 3	<i>r</i> 3	

# Summary

## Comparisons across Techniques

- SLR(1) = LR(0) items + FOLLOW
  - SLR(1) parsers can parse a larger number of grammars than LR(0)
  - Any grammar that can be parsed by an LR(0) parser can be parsed by an SLR(1) parser
- $SLR(1) \leq LALR(1) \leq LR(1)$
- $SLR(k) \le LALR(k) \le LR(k)$
- $LL(k) \leq LR(k)$
- Ambiguous grammars are not LR

## Summary

- Bottom-up parsing is a more powerful technique compared to topdown parsing
  - LR grammars can handle left recursion
  - Detects errors as soon as possible, and allows for better error recovery
- Automated parser generators such as Yacc and Bison

### References

- A. Aho et al. Compilers: Principles, Techniques, and Tools, 2<sup>nd</sup> edition, Chapter 4.5-4.8.
- K. Cooper and L. Torczon. Engineering a Compiler, 2<sup>nd</sup> edition, Chapter 3.4.